

Customer Profile Form

via Intermediary



As a financial services provider, we are legally obligated to detect, investigate, and prevent money laundering and terrorist financing. This is called our gatekeeper role. To fulfill this obligation, we require information from new clients. Therefore, we ask you to complete this questionnaire in full. Please note: one form for each client.

1 Personal data

Last name (*birth name*)

Full first name(s)

Name in general use

Date of birth

2 What is the address at which you are currently registered?

Address

Postal code

Town/city

Country

3 Financial situation

3a I am a U.S. person.

A person is a U.S. person if they are a U.S. citizen or resident. This is the case if you were born in the United States. You retain this U.S. citizenship until you renounce it or it is revoked. A "Certificate of Loss of Nationality" proves that you have renounced your U.S. citizenship.

Yes

No

3b Were you born in the United States?

The United States of America also includes the following US Territories: Guam, the U.S. Virgin Islands, the Commonwealth (or the Northern Mariana Islands, if you were born after November 1986).

Yes

No

If any of the above questions are answered "Yes," please provide your U.S. TIN:

3 Financial situation

3c Are international transactions expected? If so, with which countries?

- No
- Yes, with:

4 Real estate portfolio

4a Do you own a real estate portfolio consisting of more than five properties? (Property for personal use, such as a home, commercial property, or a second home for your own children who are studying, does not count)?*

Note: If your client finances real estate, the limit of more than 5 loans granted for properties applies (regardless of the amount of the combined loans).

** More than 5 cadastral designations with their own cadastral registration or fewer than 6 cadastral designations with a total of more than 5 separate properties/(residential) units/student rooms. For example: a NP with 1 property and 6 student rooms is considered active commercial real estate.*

- Yes, proceed to questions 4b and 4c.
- No, proceed to question 5.

4b Will the rental income from your real estate portfolio be paid through your Rabobank current account?

- Yes
- No

4c Is the property (partly) financed by Rabobank?

- Yes
- No

5 Contribution of own resources

5a What is the (joint) contribution of own funds, including the (fictitious) equity*, and what is the source (origin) of this contribution?

**By (fictitious) equity we mean the difference between the market value of the property on which security is established and the amount of the remaining mortgage debt.*

Savings €

If provided, explanation:

Surplus value €

If provided, explanation:

5 Contribution of own resources

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Profit €

If provided, explanation:

Gift €

If provided, explanation:

Bridging €

If provided, explanation:

Inheritance €

If provided, explanation:

Other €

If provided, explanation:

6 Other

6a Do you, your partner, or any immediate family members hold a prominent political position? (see appendix for explanation)?

- Yes
- No
- Don't know

6b Has a financial institution, including Rabobank, terminated or refused a business relationship with you (or your company) in the past?

- Yes
- No

Attachment Customer Image Form

Who is a politically exposed person?

A politically exposed person is someone with one of the following functions:

- head of state, head of government, minister, deputy minister or secretary of state;
- member of parliament or member of a comparable legislative body;
- member of the board of a national political party;
- member of a supreme court, constitutional court or other high judicial body that renders judgments against which in principle no appeal lies;
- member of an audit office or of the board of directors of a central bank; ambassador, chargé d'affaires or high military officer;
- member of the management, supervisory or administrative body of a government company; director, deputy director, member of the board of directors or holder of an equivalent position at an international organisation.

Family members of a politically exposed person are also considered politically exposed persons.

This concerns:

- spouse or partner;
- children and their spouse or partner;
- parents.

So-called 'close associates' of a politically prominent person are also considered to be politically prominent persons.

These are:

- the person who, together with a politically prominent person, is ultimately a stakeholder in the organisation;
- the person who has a close business relationship with a politically prominent person;
- the person who is the ultimate stakeholder in an organisation that was set up for the actual benefit of a politically prominent person.